

**SHANTHI GEARS LIMITED**

**Shareholding Pattern as on 31st December, 2018**

- 1. Name of Listed Entity: Shanthi Gears Limited
- 2. Scrip Code/Name of Scrip/Class of Security: BSE: 522034  
NSE: SHANTIGEAR  
Equity Shares
- 3. Share Holding Pattern Filed under: Reg-31(1)(a)/Reg. 31(1)(b)/Reg-31(1)(e)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : 31(1) (b)
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment 31-12-2018
- 4. Declaration:

	Particulars	No*
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledged or otherwise encumbered?	No

\*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - Summary Statement holding of specified securities

Category of Shareholder	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
							No of Voting Rights has 1 vote	Each share	Total as a % of Total Voting rights			No.	As a % of total Shares held	N.C. (a)	As a % of total Shares held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = IV+V+V	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X)	(XII)	(XIII)	(XIV)	
(A) Promoter and Promoter Group	2	57302913	0	0	57302913	70.125	57302913	57302913	0	70.125	0	0.000	0	0.000	57302913	
(B) Public	15264	24412940	0	0	24412940	29.875	24412940	24412940	0	29.875	0	0.000	0	0.000	23382067	
(C) Non Promoter-Non Public																
(C1) Shares underlying DRs																
(C2) Shares held by Employee Trusts																
Total	15266	81715853	0	0	81715853	100.000	81715853	0	81715853	100.000	0	100.000	0	0.000	80685000	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of Shareholder	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of securities (as a percentage of diluted share capital) (XD) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights share has 1 vote	Each	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total	(X)	(XI)	(XII)	(XIII)	(XIV)		
(A) Shareholding of Promoter and Promoter Group <sup>2</sup>																	
1 Indian																	
(a) Individuals/ Hindu Undivided Family																	
(b) Central Government/ State Government(s)																	
(c) Financial Institutions/ Banks																	
(d) Any Others(Specify)																	
Bodies Corporate		2	57302913		0	57302913	70.125	57302913		57302913	0	70.125	0	0.000	0	0.000	57302913
AMBADI INVESTMENTS LIJIAAACN1078J		1	6500			6500	0.008	6500		6500	0	0.008	0	0	0	0	6500
TUBE INVESTMENTS OF IIAADCT1398N		1	57296413			57296413	70.117	57296413		57296413	0	70.117	0	0	0	0	57296413
Sub Total(A)(1)		2	57302913		0	57302913	70.125	57302913		57302913	0	70.125	0	0.000	0	0.000	57302913
2 Foreign																	
a Individuals (Non-Residents Individuals/ Foreign Individuals)																	
b Government																	
c Institutions																	
d Foreign Portfolio Investor																	
e Any Others(Specify)																	
Sub Total(A)(2)		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	57302913	0	0	57302913	70.125	57302913		57302913	0	70.125	0	0.000	0	0.000	57302913



Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of Shareholders	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held as per SCRR, 1957 (A+B+C)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Plighted of otherwise encumbered		Number of equity shares held in dematerialized form
							Class X	Class Y	Total			As a % of Total Voting rights	No. (a)	As a % of Total Shares held (b)	No. (a)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = IV+V+VI	(viii)	(ix)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	
(1) Institutions																
(a) Mutual Funds/ SBI INFRASTRUCTURE FUND	AAABTS6407Q	6	1415107	0	0	1415107	1.732	1415107	0	1.732	0	0.000	0	0.000	1415107	
(b) Venture Capital Funds																
(c) Alternate Investment Funds																
(d) SUNDRAMI ALTERNATIVE OPPORTUNITIES FUND	AAQAAS9168Q	1	839511	0	0	839511	1.027	839511	0	1.027	0	0.000	0	0.000	839511	
(e) Foreign Venture Capital Investors																
(f) FIRST STATE INVESTMENTS (VC- STEWART)	AAABCF0154C	6	2490294	0	0	2490294	3.048	2490294	0	3.048	0	0.000	0	0.000	2490294	
(g) Financial Institutions/ Banks																
(h) Insurance Companies																
(i) Provident Funds/Pension Funds																
(j) Any Other (specify)																
Foreign Financial Institutions																
PACIFIC ASSETS TRUST PLC	AAECPZ26SR	2	1005324	0	0	1005324	1.230	1005324	0	1.230	0	0.000	0	0.000	1005324	
Foreign Banks																
Sub-Total (B)(1)		21	6895276	0	0	6895276	8.439	6895276	0	8.439	0	0.000	0	0.000	6895276	
(2) Central Government/ State Government(s)/ President of India																
Sub-Total (B)(2)																
(3) Non-institutions																
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakh																
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakh.		14243	10752976	0	0	10747976	13.152	10747976	0	13.152	0	0.000	0	0.000	9754615	
NIMESH SUJATILAL	AAJPS1459A	6	4275000	0	0	4275000	5.232	4275000	0	5.232	0	0.000	0	0.000	4275000	
DURGESH S SHAH	AAQPS2879F	1	1100000	0	0	1100000	1.346	1100000	0	1.346	0	0.000	0	0.000	1100000	
DHANESH S SHAH	ABFPS0196K	1	1100000	0	0	1100000	1.346	1100000	0	1.346	0	0.000	0	0.000	1100000	
(b) NBFs registered with RBI																
(c) Employee Trusts																
(d) Overseas Depositories (holding DRs) (balancing figure)																
(e) Any other (Specify)																
Bodies Corporate																
Non Resident Indians - Non Repatriable		174	631016	0	0	631016	0.772	631016	0	0.772	0	0.000	0	0.000	615266	
Non Resident Indians - Repatriable		113	134164	0	0	134164	0.164	134164	0	0.164	0	0.000	0	0.000	123424	
Cleaning Members		166	421195	0	0	421195	0.515	421195	0	0.515	0	0.000	0	0.000	421195	
Hindu Undivided Families		134	149742	0	0	149742	0.183	149742	0	0.183	0	0.000	0	0.000	149742	
Foreign Corporate Bodies		404	583568	0	0	583568	0.714	583568	0	0.714	0	0.000	0	0.000	583568	
Inv.Education and Protection Fund Auth.		1	793	0	0	793	0.001	793	0	0.001	0	0.000	0	0.000	793	
Unclaimed Share Suspense Account		1	296678	0	0	296678	0.363	296678	0	0.363	0	0.000	0	0.000	296678	
Sub-Total (B)(3)		15243	17517664	0	0	17517664	21.436	17517664	0	21.436	0	0.000	0	0.000	16492811	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		15264	24412940	0	0	24412940	29.875	24412940	0	29.875	0	0.000	0	0.000	23382087	

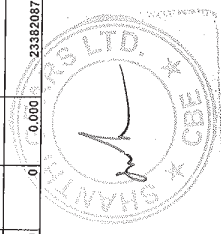


Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No of share held
185	272530

