

SHANTHI GEARS LIMITED

Shareholding Pattern as on 30th September,2019

1. Name of Listed Entity:
2. Scrip Code/Name of Scrip/Class of Security

Shanthi Gears Limited
BSE: 522034
NSE: SHANTIGEAR
Equity Shares

3. Share Holding Pattern Filed under: ~~Reg. 31(1)(a)~~/Reg. 31(1)(b)/~~Reg. 31(1)(c)~~
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration:

: 31(1) (b)
30-09-2019

	Particulars		No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding % as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights has 1 vote	Each share	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
(A)	Promoter and Promoter Group	2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	0	0.000	0	0.000	54063189
(B)	Public	14388	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	0	0.000	0	0.000	21740303
(C)	Non Promoter-Non Public																
(C1)	Shares underlying DRs																
(C2)	Shares held by Employee Trusts																
	Total	14390	76715853	0	0	76715853	100.000	76715853	0	76715853	100.000	0	0	0.000	0	0.000	75803492



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Statement showing Shareholding pattern of the Promoter and Promoter Group																		
Category & Name of Shareholder	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Each share has 1 vote	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class X	Class Y									
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total		(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
(A) Shareholding of Promoter and Promoter Group ²																		
1 Indian																		
(a) Individuals/ Hindu Undivided Family																		
(b) Central Government/ State Government(s)																		
(c) Financial Institutions/ Banks																		
(d) Any Others(Specify)																		
Bodies Corporate		2	54063189	0		54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
AMBADI INVESTMENTS LI	AAACN1078J	1	5714			5714	0.007	5714		5714	0.007	0	0.007	0		0		5714
TUBE INVESTMENTS OF I	AADCT1398N	1	54057475			54057475	70.465	54057475		54057475	70.465	0	70.465	0		0		54057475
Sub Total(A)(1)		2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
2 Foreign																		
a Individuals (Non-Residents Individuals/ Foreign Individuals)																		
b Government																		
c Institutions																		
d Foreign Portfolio Investor																		
e Any Others(Specify)																		
Sub Total(A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189



Table III - Statement showing shareholding pattern of the Public Shareholder

Table IV - Statement showing shareholding pattern of the Public Shareholder																				
	Category & Name of Shareholders	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form		
									No of Voting Rights has 1 vote					Each share has 1 vote	Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)
									Class X	Class Y	Total									
	(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)		
(1)	Institutions																			
(a)	Mutual Funds/		6	1114445	0		1114445	1.453	1114445		1114445	1.453	0	1.453	0	0.000	0	0.000	1110445	
(b)	Venture Capital Funds																			
(c)	Alternate Investment Funds		2	2011721	0		2011721	2.622	2011721		2011721	2.622	0	2.622	0	0.000	0	0.000		
(d)	SUNDARAM ALTERNATIVE OPPORTUNITIES FUND	AAQAS9168Q	1	1469134			1469134	1.915	1469134		1469134	1.915	0	2.622	0	0.000	0	0.000	2011721	
(e)	Foreign Venture Capital Investors												1.915	0	0.000	0	0.000	1469134		
(f)	Foreign portfolio Investors		5	1452917	0		1452917	1.894	1452917		1452917	1.894	0	1.894	0	0.000	0	0.000		
(g)	FIRST STATE INVESTMENTS ICVC- STEWART	AABCF0154C	1	1422981			1422981	1.855	1422981		1422981	1.855	0	1.855	0	0.000	0	0.000	1452917	
(h)	Financial Institutions/ Banks		4	18958	0		18958	0.025	18958		18958	0.025	0	1.855	0	0.000	0	0.000	1422981	
(i)	Insurance Companies		1	554682	0		554682	0.723	554682		554682	0.723	0	0.025	0	0.000	0	0.000	18958	
(j)	Provident Funds/Pension Funds												0.723	0	0.000	0	0.000	554682		
(k)	Any Other (specify)																			
(l)	Foreign Financial Institutions		2	1054272	0		1054272	1.374	1054272		1054272	1.374	0	1.374	0	0.000	0	0.000		
(m)	PACIFIC ASSETS TRUST PLC	AAECP7265R	1	1052272			1052272	1.372	1052272		1052272	1.372	0	1.372	0	0.000	0	0.000	1052272	
(n)	Sub-Total (B)(1)		20	6206995	0	0	6206995	8.091	6206995		6206995	8.091	0	8.091	0	0.000	0	0.000	6200995	
(2)	Central Government/ State Government(s)/ President of India																			
(3)	Sub-Total (B)(2)																			
(4)	Non-institutions																			
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakh		13541	10779770	0		10779770	14.052	10779770		10779770	14.052	0	14.052	0	0.000	0	0.000		
(b)	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakh.		4	3383905	0		3383905	4.411	3383905		3383905	4.411	0	4.411	0	0.000	0	0.000	9895899	
(c)	NIMESH SUMATILAL	AAJPS1459A	1	974114			974114	1.270	974114		974114	1.270	0	4.411	0	0.000	0	0.000	3383905	
(d)	DURGESH S SHAH	AAQPS2879F	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0	0.000	0	0.000	974114	
(e)	DHANESH S SHAH	ABFPS0196K	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0	0.000	0	0.000	974114	
(f)	Sub-Total (B)(3)												0	1.270	0	0.000	0	0.000	974114	
(g)	NBFCs registered with RBI																			
(h)	Employee Trusts																			
(i)	Overseas Depositories (holding DRs) (balancing figure)																			
(j)	Any other (Specify)																			
(k)	Bodies Corporate		131	478488	0		478488	0.624	478488		478488	0.624	0	0.624	0	0.000	0	0.000	462738	
(l)	Non Resident Indians - Non Repatriable		117	166805	0		166805	0.217	166805		166805	0.217	0	0.217	0	0.000	0	0.000	160065	
(m)	Non Resident Indians - Repatriable		161	408426	0		408426	0.532	408426		408426	0.532	0	0.532	0	0.000	0	0.000	408426	
(n)	Clearing Members		51	75725	0		75725	0.099	75725		75725	0.099	0	0.099	0	0.000	0	0.000	75725	
(o)	Hindu Undivided Families		360	590269	0		590269	0.769	590269		590269	0.769	0	0.769	0	0.000	0	0.000	590269	
(p)	Foreign Corporate Bodies		1	793	0		793	0.001	793		793	0.001	0	0.001	0	0.000	0	0.000	793	
(q)	Inv.Education and Protection Fund Auth.		1	296678	0		296678	0.387	296678		296678	0.387	0	0.387	0	0.000	0	0.000	296678	
(r)	Unclaimed Share Suspense Account		1	264810	0		264810	0.345	264810		264810	0.345	0	0.345	0	0.000	0	0.000	264810	
(s)	Sub-Total(B)(3)		14368	16445669	0	0	16445669	21.437	16445669		16445669	21.437	0	21.437	0	0.000	0	0.000	15539308	
(t)	Total Public Shareholding (B)= (B)(1)+(B)(2)+B(3)		14388	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	29.528	0	0.000	0	0.000	21740303	



Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No of share held
180	264810

