

## SHANTHI GEARS LIMITED

### Shareholding Pattern as on 31st December,2019

1. Name of Listed Entity:
2. Scrip Code/Name of Scrip/Class of Security

Shanthi Gears Limited  
BSE: 522034  
NSE: SHANTIGEAR  
Equity Shares

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration:

: 31(1) (b) :  
31-12-2019

	Particulars	No*
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	*No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

\*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



**Table I - Summary Statement holding of specified securities**

Annex 1: Summary Statement holding of specified securities																		
Category	Category of Shareholder	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights	Each share has 1 vote	Total as a % of Total Voting rights				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total		(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
(A)	Promoter and Promoter Group	2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
(B)	Public	14293	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	29.528	0	0.000	0	0.000	21764795
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	14295	76715853	0	0	76715853	100.000	76715853	0	76715853	100.000	0	100.000	0	0.000	0	0.000	75827984



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of Shareholder	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
									No of Voting Rights Each share has 1 vote			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total		(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
(A)	Shareholding of Promoter and Promoter Group <sup>2</sup>																		
i	Indian																		
(a)	Individuals/ Hindu Undivided Family																		
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any Others(Specify)																		
	Bodies Corporate		2	54063189	0		54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
	AMBADI INVESTMENTS LIMITED	AAACN1078J	1	5714			5714	0.007	5714		5714	0.007	0	0.007	0		0	5714	
	TUBE INVESTMENTS OF INDIA LIMITED	AADCT1398N	1	54057475			54057475	70.465	54057475		54057475	70.465	0	70.465	0		0	54057475	
	Sub Total(A)(1)		2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
2	Foreign																		
a	Individuals (Non-Residents Individuals/ Foreign Individuals)																		
b	Government																		
c	Institutions																		
d	Foreign Portfolio Investor																		
e	Any Others(Specify)																		
	Sub Total(A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189



Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of Shareholders	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights has 1 vote					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)	
(1)	Institutions																		
(a)	Mutual Funds/		6	1114445	0		1114445	1.453	1114445		1114445	1.453	0	1.453	0	0.000	0	0.000	1110445
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds		2	2011721	0		2011721	2.622	2011721		2011721	2.622	0	2.622	0	0.000	0	0.000	2011721
	SUNDARAM ALTERNATIVE OPPORTUNITIES FUND	AAQAS9168Q	1	1469134			1469134	1.915	1469134		1469134	1.915	0	1.915	0	0.000	0	0.000	1469134
(d)	Foreign Venture Capital Investors																		
(e)	Foreign portfolio Investors		2	2475253	0		2475253	3.227	2475253		2475253	3.227	0	3.227	0	0.000	0	0.000	2475253
	FIRST STATE INVESTMENTS ICVC- STEWART	AABCF0154C	1	1422981			1422981	1.855	1422981		1422981	1.855	0	1.855	0	0	0.000	1422981	
	PACIFIC ASSETS TRUST PLC	AAECP7265R	1	1052272			1052272	1.372	1052272		1052272	1.372	0	1.372	0	0	0.000	1052272	
(f)	Financial Institutions/ Banks		3	13739	0		13739	0.018	13739		13739	0.018	0	0.018	0	0.000	0	0.000	13739
(g)	Insurance Companies		1	554682	0		554682	0.723	554682		554682	0.723	0	0.723	0	0.000	0	0.000	554682
(h)	Provident Funds/Pension Funds																		
(i)	Any Other (specify)																		
	Foreign Financial Institutions		1	2000	0		2000	0.003	2000		2000	0.003	0	0.003	0	0.000	0	0.000	0
	Sub-Total (B)(1)		15	6171840	0	0	6171840	8.046	6171840		6171840	8.046	0	8.046	0	0.000	0	0.000	6165840
(2)	Central Government/ State Government(s)/ President of India																		
	Sub-Total (B)(2)																		
(3)	Non-institutions																		
(a)	Individuals -i. Individual shareholders holding nominal share capital up to Rs 2 lakh		13453	10834234	0		10834234	14.122	10834234		10834234	14.122	0	14.122	0	0.000	0	0.000	9974105
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakh.		4	3383905	0		3383905	4.411	3383905		3383905	4.411	0	4.411	0	0.000	0	0.000	3383905
	NIMESH SUMATILAL	AAJPS1459A	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0	0	0.000	974114	
	DURGESH S SHAH	AAQPS2879F	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0	0	0.000	974114	
	DHANESH S SHAH	ABFPS0196K	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0	0	0.000	974114	
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
	Overseas Depositories (holding DRs) (balancing figure)																		
(d)	Any other (Specify)																		
	Bodies Corporate		123	410180	0		410180	0.535	410180		410180	0.535	0	0.535	0	0.000	0	0.000	395180
	Non Resident Indians - Non Repatriable		118	172888	0		172888	0.225	172888		172888	0.225	0	0.225	0	0.000	0	0.000	166148
	Non Resident Indians - Repatriable		160	425688	0		425688	0.555	425688		425688	0.555	0	0.555	0	0.000	0	0.000	425688
	Clearing Members		65	105403	0		105403	0.137	105403		105403	0.137	0	0.137	0	0.000	0	0.000	105403
	Hindu Undivided Families		352	576904	0		576904	0.752	576904		576904	0.752	0	0.752	0	0.000	0	0.000	576904
	Foreign Corporate Bodies		1	793	0		793	0.001	793		793	0.001	0	0.001	0	0.000	0	0.000	793
	Inv. Education and Protection Fund Auth.		1	329299	0		329299	0.429	329299		329299	0.429	0	0.429	0	0.000	0	0.000	329299
	Unclaimed Share Suspense Account		1	241530	0		241530	0.315	241530		241530	0.315	0	0.315	0	0.000	0	0.000	241530
	Sub-Total (B)(3)		14278	16480824	0	0	16480824	21.482	16480824		16480824	21.482	0	21.482	0	0.000	0	0.000	15598955
	Total Public Shareholding (B)= (B)(1)+(B)(2)+B(3)		14293	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	29.528	0	0.000	0	0.000	21764795



Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
166	241530

