

## Shanthi Gears Limited

### Shareholding Pattern as on 31-03-2020

1. Name of Listed Entity:
2. Scrip Code/Name of Scrip/Class of Security
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/ Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration:

Shanthi Gears Limited  
BSE:522034  
NSE: SHANTIGEAR  
Equity Shares  
**Reg. 31(1)(b)**  
31-Mar-20

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

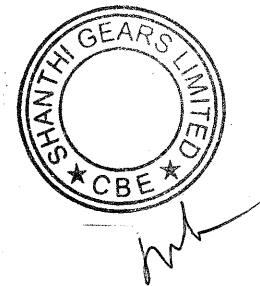


Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights has 1 vote	Each share	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
																		Class X
(A)	Promoter and Promoter Group	2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
(B)	Public	14224	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	29.528	0	0.000	0	0.000	21779775
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>14226</b>	<b>76715853</b>	<b>0</b>	<b>0</b>	<b>76715853</b>	<b>100.000</b>	<b>76715853</b>	<b>0</b>	<b>76715853</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>75842964</b>

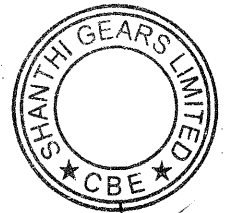


Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of Shareholder	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights share has 1 vote	Each	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
<b>(A) Shareholding of Promoter and Promoter Group<sup>2</sup></b>																	
<b>1 Indian</b>																	
(a) Individuals/ Hindu Undivided Family																	
(b) Central Government/ State Government(s)																	
(c) Financial Institutions/ Banks																	
(d) Any Others(Specify)																	
Bodies Corporate		2	54063189	0		54063189	70.472	54063189	54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
AMBADI INVESTMENTS LI	AAACN1078J	1	5714			5714	0.007	5714	5714	0.007	0	0.007	0		0		5714
TUBE INVESTMENTS OF I	AADCT1398N	1	54057475			54057475	70.465	54057475	54057475	70.465	0	70.465	0		0		54057475
<b>Sub Total(A)(1)</b>		<b>2</b>	<b>54063189</b>	<b>0</b>	<b>0</b>	<b>54063189</b>	<b>70.472</b>	<b>54063189</b>	<b>54063189</b>	<b>70.472</b>	<b>0</b>	<b>70.472</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>54063189</b>
<b>2 Foreign</b>																	
a Individuals (Non-Residents Individuals/ Foreign Individuals)																	
b Government																	
c Institutions																	
d Foreign Portfolio Investor																	
e Any Others(Specify)																	
<b>Sub Total(A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0</b>
<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>2</b>	<b>54063189</b>	<b>0</b>	<b>0</b>	<b>54063189</b>	<b>70.472</b>	<b>54063189</b>	<b>54063189</b>	<b>70.472</b>	<b>0</b>	<b>70.472</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>54063189</b>

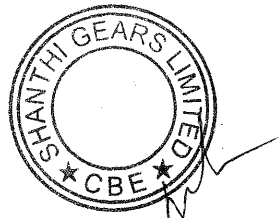


Table III - Statement showing shareholding pattern of the Public Shareholder

(I) Category & Name of Shareholders	(II) PAN	(III) Number of Shareholders	(IV) No. of fully paid-up equity shares held	(V) Partly-paid-up equity shares held	(VI) No. of shares underlying Depository Receipts	(VII) Total number of shares held VII = IV+V+VI	(VIII) Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	(IX) Number of Voting Rights held in each class of securities (X)			(XI) No. of Shares Underlying Outstanding convertible securities (including Warrants)	(XII) Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	(XIII) Number of Locked in shares		(XIV) Shares Pledged of otherwise encumbered		(XV) Number of equity shares held in dematerialized form
								No of Voting Rights Each share has 1 vote		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class X	Class Y								
(1) Institutions																	
(a) Mutual Funds/		6	669445	0		669445	0.873	669445		669445	0.873	0	0.000	0	0.000		665445
(b) Venture Capital Funds																	
(c) Alternate Investment Funds		2	2011721	0		2011721	2.622	2011721		2011721	2.622	0	0.000	0	0.000		2011721
SUNDARAM ALTERNATIVE OPPORTUNITIES FUND	AAQAS9168Q	1	1469134			1469134	1.915	1469134		1469134	1.915	0	0.000	0	0.000		1469134
(d) Foreign Venture Capital Investors																	
(e) Foreign portfolio Investors		2	2463593	0		2463593	3.211	2463593		2463593	3.211	0	0.000	0	0.000		2463593
FIRST STATE INVESTMENTS ICVC- STEWART	AABCF0154C	1	1411321			1411321	1.840	1411321		1411321	1.840	0	0.000	0	0.000		1411321
PACIFIC ASSETS TRUST PLC	AAECP7265R	1	1052272			1052272	1.372	1052272		1052272	1.372	0	0.000	0	0.000		1052272
(f) Financial Institutions / Banks		3	6010	0		6010	0.008	6010		6010	0.008	0	0.000	0	0.000		6010
(g) Insurance Companies		1	554682	0		554682	0.723	554682		554682	0.723	0	0.000	0	0.000		554682
(h) Provident Funds/Pension Funds																	
(i) Any Other (specify)																	
Foreign Financial Institutions		1	2000	0		2000	0.003	2000		2000	0.003	0	0.000	0	0.000		0
Sub-Total (B)(1)		15	5707451	0	0	5707451	7.440	5707451		5707451	7.440	0	0.000	0	0.000		5701451
(2) Central Government/ State Government(s)/ President of India																	
Sub-Total (B)(2)																	
(3) Non-institutions																	
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakh		13401	10823409	0		10823409	14.109	10823409		10823409	14.109	0	0.000	0	0.000		9978260
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakh.		4	3383905	0		3383905	4.411	3383905		3383905	4.411	0	0.000	0	0.000		3383905
NIMESH SUMATILAL	AAJPS1459A	1	974114			974114	1.270	974114		974114	1.270	0	0.000	0	0.000		974114
DURGESH S SHAH	AAQPS2879F	1	974114			974114	1.270	974114		974114	1.270	0	0.000	0	0.000		974114
DHANESH S SHAH	ABFPS0196K	1	974114			974114	1.270	974114		974114	1.270	0	0.000	0	0.000		974114
(b) NBFCs registered with RBI																	
(c) Employee Trusts																	
(d) Overseas Depositories (holding DRs) (balancing figure)																	
(e) Any other (Specify)																	
Bodies Corporate		120	901559	0		901559	1.175	901559		901559	1.175	0	0.000	0	0.000		866559
Non Resident Indians - Non Repatriable		117	178330	0		178330	0.232	178330		178330	0.232	0	0.000	0	0.000		171590
Non Resident Indians - Repatriable		161	425621	0		425621	0.555	425621		425621	0.555	0	0.000	0	0.000		425621
Cleaning Members		54	86616	0		86616	0.113	86616		86616	0.113	0	0.000	0	0.000		86616
Hindu Undivided Families		349	576151	0		576151	0.751	576151		576151	0.751	0	0.000	0	0.000		576151
Foreign Corporate Bodies		1	793	0		793	0.001	793		793	0.001	0	0.000	0	0.000		793
Inv.Education and Protection Fund Auth.		1	329299	0		329299	0.429	329299		329299	0.429	0	0.000	0	0.000		329299
Unclaimed Share Suspense Account		1	239530	0		239530	0.312	239530		239530	0.312	0	0.000	0	0.000		239530
Sub-Total(B)(3)		14209	16945213	0	0	16945213	22.088	16945213		16945213	22.088	0	0.000	0	0.000		16078324
Total Public Shareholding (B)= (B)(1)+(B)(2)+B(3)		14224	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	0.000	0	0.000		21779775

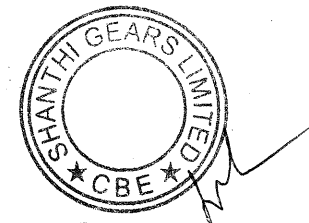
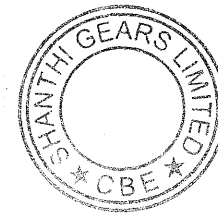


Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No of share held
165	239530



*Handwritten signature*