

## Shanthi Gears Limited

### Shareholding Pattern as on 30-09-2020

1. Name of Listed Entity:

2. Scrip Code/Name of Scrip/Class of Security

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/ Reg.31(1)(e)

a. If under 31(1)(b) then indicate the report for Quarter ending

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration:

Shanthi Gears Limited

BSE:522034

NSE: SHANTIGEAR

Equity Shares

**Reg. 31(1)(b)**

30-Sep-20

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Sharehold ing % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights has 1 vote		Each share	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+V	(VIII)	Class X	Class Y	Total		(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
(A)	Promoter and Promoter Group	2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
(B)	Public	16186	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	29.528	0	0.000	0	0.000	21782505
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	16188	76715853	0	0	76715853	100.000	76715853	0	76715853	100.000	0	100.000	0	0.000	0	0.000	75845694



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of Shareholder	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights	Each share has 1 vote	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
	(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total		(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
(A)	Shareholding of Promoter and Promoter Group <sup>2</sup>																		
1	Indian																		
(a)	Individuals/ Hindu Undivided Family																		
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any Others(Specify)																		
	Bodies Corporate		2	54063189	0		54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
	AMBADI INVESTMENTS LI	AAACN1078J	1	5714			5714	0.007	5714		5714	0.007	0	0.007	0		0		5714
	TUBE INVESTMENTS OF I	AADCT1398N	1	54057475			54057475	70.465	54057475		54057475	70.465	0	70.465	0		0		54057475
	Sub Total(A)(1)		2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189
2	Foreign																		
a	Individuals (Non-Residents Individuals/ Foreign Individuals)																		
b	Government																		
c	Institutions																		
d	Foreign Portfolio Investor																		
e	Any Others(Specify)																		
	Sub Total(A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	54063189	0	0	54063189	70.472	54063189		54063189	70.472	0	70.472	0	0.000	0	0.000	54063189





Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of Shareholders	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights has 1 vote		Each share			Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class X	Class Y									
	(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)	
(1)	Institutions																		
(a)	Mutual Funds/		6	669445	0		669445	0.873	669445		669445	0.873	0	0.873	0	0.000	0	0.000	665445
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds		2	1895771	0		1895771	2.471	1895771		1895771	2.471	0	2.471	0	0.000	0	0.000	1895771
	SUNDARAM ALTERNATIVE OPPORTUNITIES FUND	AAQAS9168Q	1	1469134			1469134	1.915	1469134		1469134	1.915	0	1.915	0		0	0.000	1469134
(d)	Foreign Venture Capital Investors																		
(e)	Foreign portfolio Investors		2	2463593	0		2463593	3.211	2463593		2463593	3.211	0	3.211	0	0.000	0	0.000	2463593
	FIRST STATE INVESTMENTS ICVC- STEWART	AABCF0154C	1	1411321			1411321	1.840	1411321		1411321	1.840	0	1.840	0		0	0.000	1411321
	PACIFIC ASSETS TRUST PLC	AAECP7265R	1	1052272			1052272	1.372	1052272		1052272	1.372	0	1.372	0		0	0.000	1052272
(f)	Financial Institutions / Banks		3	6005	0		6005	0.008	6005		6005	0.008	0	0.008	0	0.000	0	0.000	6005
(g)	Insurance Companies		1	554682	0		554682	0.723	554682		554682	0.723	0	0.723	0	0.000	0	0.000	554682
(h)	Provident Funds/Pension Funds																		
(i)	Any Other (specify)																		
	Foreign Financial Institutions		1	2000	0		2000	0.003	2000		2000	0.003	0	0.003	0	0.000	0	0.000	0
	Sub-Total (B)(1)		15	5591496	0	0	5591496	7.289	5591496		5591496	7.289	0	7.289	0	0.000	0	0.000	5585496
(2)	Central Government/ State Government(s)/ President of India																		
	Sub-Total (B)(2)																		
(3)	Non-institutions																		
(a)	Individuals -i. Individual shareholders holding nominal share capital up to Rs 2 lakh		15316	10515591	0		10515591	13.707	10515591		10515591	13.707	0	13.707	0	0.000	0	0.000	9673172
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakh.		6	3963905	0		3963905	5.167	3963905		3963905	5.167	0	5.167	0	0.000	0	0.000	3963905
	NIMESH SUMATILAL	AAJPS1459A	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0		0	0.000	974114
	DURGESH S SHAH	AAQPS2879F	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0		0	0.000	974114
	DHANESH S SHAH	ABFPS0196K	1	974114			974114	1.270	974114		974114	1.270	0	1.270	0		0	0.000	974114
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
	Overseas Depositories (holding DRs) (balancing figure)																		
(d)	Any other (Specify)																		
	Bodies Corpoates		106	739877	0		739877	0.964	739877		739877	0.964	0	0.964	0	0.000	0	0.000	724877
	Non Resident Indians - Non Repatriable		118	122977	0		122977	0.160	122977		122977	0.160	0	0.160	0	0.000	0	0.000	116237
	Non Resident Indians - Repatriable		160	377100	0		377100	0.492	377100		377100	0.492	0	0.492	0	0.000	0	0.000	377100
	Clearing Members		97	197011	0		197011	0.257	197011		197011	0.257	0	0.257	0	0.000	0	0.000	197011
	Hindu Undivided Families		365	575085	0		575085	0.750	575085		575085	0.750	0	0.750	0	0.000	0	0.000	575085
	Foreign Corporate Bodies		1	793	0		793	0.001	793		793	0.001	0	0.001	0	0.000	0	0.000	793
	Inv. Education and Protection Fund Auth.		1	329299	0		329299	0.429	329299		329299	0.429	0	0.429	0	0.000	0	0.000	329299
	Unclaimed Share Suspense Account		1	239530	0		239530	0.312	239530		239530	0.312	0	0.312	0	0.000	0	0.000	239530
	Sub-Total(B)(3)		16171	17061168	0	0	17061168	22.239	17061168		17061168	22.239	0	22.239	0	0.000	0	0.000	16197009
	Total Public Shareholding (B)= (B)(1)+(B)(2)+B(3)		16186	22652664	0	0	22652664	29.528	22652664		22652664	29.528	0	29.528	0	0.000	0	0.000	21782505



Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
165	239530

