Shanthi Gears Limited

Shareholding Pattern as on 30-06-2020

1. Name of Listed Entity:

2. Scrip Code/Name of Scrip/Class of Security

Shanthi Gears Limited

BSE:522034

NSE: SHANTIGEAR

Equity Shares

Reg. 31(1)(b)

30-Jun-20

3. Share Holding Pattern Filed under: Reg. 31(1)(a)-/Reg. 31(1)(b)/ Reg.31(1)(c)

a. If under 31(1)(b) then indicate the report for Quarter ending

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration:

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | | No |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



| Table I | Table I - Summary Statement holding of specified securities | | | | | | | | | | | | | | | | | |
|----------|---|--------------|---------------|----------------|------------------------|------------------|-----------------|-----------------|---|-----------------------|-----------------------------|--------------------------|-------------------------------|-----------|-----------|------------|----------------|-----------|
| Category | Category of | | No. of fully | Partly | Nos. of | Total | Sharehold | | | | | No. of Shares | Shareholding, | Number of | Locked in | Shares Ple | dged of | Number of |
| | Shareholder | Shareholders | | paid-up | shares ~ | number of | ing % | | | | | Underlying | as a % | shares | | otherwise | encumbered | |
| | | | equity shares | equity | underlying | shares held | | Number of V | Number of Voting Rights held in each class of | | Outstanding assuming full | | | | | | held in | |
| | | | held | shares held | Depository Receipts | | as per SCRR, | securities (IX) | | | convertible conversion of | | | | | | dematerialized | |
| | | | | neiu | Receipts | | 1957 | No of Voting | Rights | Fach share Total as a | | securities (including | convertible securities (as a | No. | As a % of | No. | As a % of | form |
| | | | | | | | As a % of | | has I vote | | % of Total | Warrants) | percentage of | (a) | total | (a) | total | 1 |
| | | | | | | | (A+B+C2) | | | | Voting | , | diluted share | | Shares | | Shares | |
| | | | | | | | | | | | rights | | capital) | | held | | held | |
| | | | | 200 000 | | | | 1940 | | | | | (XI) = (VII)+(X) | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | VII = IV + V + V | (VIII) | Class X | Class Y | Total | | (X) | as a % of | () | KII) | () | KIII) | (XIV) |
| (4) | | | 54000400 | | | 5 1000 100 | | - 1000100 | | 51000100 | 70.470 | | A+B+C2 | | | | 1 0000 | |
| (A) | Promoter and Promoter Group | . 2 | 54063189 | 0 | 0 | 54063189 | | | | 54063189 | | 0 | 70.472 | | 0.000 | (| 0.000 | |
| (B) | Public | 16339 | 22652664 | 0 | 0 | 22652664 | 29.528 | 22652664 | | 22652664 | 29.528 | 0 | 29.528 | 0 | 0.000 | | 0.000 | 21782505 |
| (C) | Non Promoter-Non Public | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | |
| | Total | 16341 | 76715853 | 0 | 0 | 76715853 | 100.000 | 76715853 | 0 | 76715853 | 100.000 | 0 | 100.000 | 0 | 0.000 | C | 0.000 | 75845694 |



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of Shareholder | g shareholding PAN | Number of Shareholders | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository | Total number of shares held | as per SCRR, 1957 | | secu | ities (IX) | each class of | Outstanding | Shareholding, as a % assuming full conversion of convertible | | | | encumbered | Number of equity shares held in |
|--------|---|-----------------------|---------------------------|---|---|---|--------------------------------|-----------------------|--------------|-------------------------|------------|---|-------------|---|------------|---------------------------------------|--|--|--|
| < | | | | | | Receipts | | As a % of (A+B+C2) | No of Voting | ng Right: re has I v | | Total as a % of Total Voting rights | securities | securities (as a percentage of diluted share capital) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerial ed form |
| | (1) | (II) | (111) | (IV) | (V) | (VI) | VII = IV+V+V | (VIII) | Class X | Class Y | Total | | (X) | (XI) = (VII)+(X) as a % of A+B+C2 | | (XII) | | (XIII) | (XIV) |
| (A) | Shareholding of Promoter and Promoter Group ² | | | | | | | | | | | | | | | | | | |
| 1 | Indian | | | | | | | | | | | | | 2 ⁽²⁾ | | | | | |
| (a) | Individuals/ Hindu Undivided Family | | | | | | | | | | | | | | i i | | | | |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| (b) | Central Government/ State Government(s) | | | | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/ Banks | | | | | | | | | | 20.00 | #25 # F F | | | | | | | |
| (d) | Any Others(Specify) Bodies Corporate | | 2 | 54063189 | 0 | | 54063189 | 70.472 | 54063189 | | 54063189 | 70.472 | 0 | 70.472 | (| 0.000 | | 0.000 | 5406318 |
| | AMBADI INVESTMENTS LI | AAACN1078J | 1 | 5714 | | | 5714 | 0.007 | 5714 | | 5714 | 0.007 | 0 | | (| | | | 57 |
| | TUBE INVESTMENTS OF I | | 1 | 54057475 | | | 54057475 | 70.465 | 54057475 | | 54057475 | 70.465 | 0 | | (| | (| | 540574 |
| | Sub Total(A)(1) | | 2 | 54063189 | 0 | 0 | 54063189 | 70.472 | 54063189 | | 54063189 | 70.472 | 0 | 70.472 | (| 0.000 |) (| 0.000 | 540631 |
| 2 | r. · | | | | | | | | | | | | | | | - | ļ | _ | |
| 2 a | Foreign Individuals (Non- Residents Individuals/ | | | 2 | | | | | | | | | | | | F F | | | |
| b | Foreign Individuals) Government | | | | | | | | | | | | | | | | | | |
| c | Institutions | | | | | | | | | | | | | | | 1 | | † | |
| d | Foreign Portfolio Investor | | | | | | | | | | | | | | | | | | |
| е | Any Others(Specify) | | | | | | | | | | | | | | | | | | |
| | Sub Total(A)(2) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | (| 0.000 |) (| 0.000 | |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 2 | 54063189 | 0 | 0 | 54063189 | 70.472 | 54063189 | | 54063189 | 70.472 | 0 | 70.472 | | 0.000 | | 0.000 | 5406318 |



Table III - Statement showing shareholding pattern of the Public Shareholder

| Table III - Statement showing shareholding pattern of the | he Public Shai | reholder | | | | | | | | | | No. of the last of | | | | | | |
|--|----------------|--------------|----------------|----------------|------------|-------------------|--------------|--------------|------------|--------------------|-----------------|--|---------------------------|-------------|------------------|----------------------|---------------|------------------|
| Category & Name of | PAN | Number of | No. of fully | Partly paid-up | Nos. of | | | | | | | | Shareholding, as | Number of I | Locked in shares | Shares Ple | dged of | Number of equity |
| Shareholders | | Shareholders | paid up equity | equity shares | shares | of shares held | % calculated | Number of | Voting R | ights held in | each class of | No. of Shares | a % assuming | | | otherwise encumbered | | shares held in |
| | | | shares held | held | underlying | | as per SCRR, | Number of | | rities (IX) | each class of | Underlying | full conversion of | | | | | dematerialized |
| | 196 | | | | Depository | | 1957 | No of Voting | | | Total as a % | Outstanding | convertible | No. | As a % of total | No. | No. As a % of | form |
| 8 | | | | | Receipts | | As a % of | | has I vote | | of Total | convertible | securities (as a | (a) | Shares held | (a) | total Shares | |
| | | | | | | 1 | (A+B+C2) | | | | Voting | securities | percentage of | () | (b) | () | held | |
| | | | | | | | | | | | rights | (including | diluted share capital) | | | | (b) | |
| 1 4444 | | | | | | | | | | | | Warrants) | | | | | | |
| | | | | | | l | | | | | | | (XI) = (VII) + (X) | | | | | |
| (1) | (11) | (III) | (IV) | (V) | (VI) | VII = IV + V + VI | (VIII) | Class X | Class Y | Total | | (X) | as a % of | | (XII) | | XIII) | (XIV) |
| | - | - | | | | | | | | | | | A+B+C2 | | т | | | |
| (1) Institutions | | | | | | | | | | | | | | | | | | |
| (a) Mutual Funds/ | - | - 6 | 669445 | 0 | | 669445 | 0.873 | 669445 | | 669445 | 0.873 | 0 | 0.873 | 0 | 0.000 | 0 0 | 0.000 | 665445 |
| | - | | | | | | 120 | | | | | | | | | | | |
| (b) Venture Capital Funds | | | | | | | | | | | | | | | | | | |
| (c) Alternate Investment Funds | | 2 | | 0 | | 1971132 | 2.569 | 1971132 | | 1971132 | 2.569 | 0 | 2.569 | 0 | 0.000 |) (| 0.000 | 1971132 |
| SUNDARAM ALTERNATIVE OPPORTUNITIES FUND | AAQAS9168Q | 1 | 1469134 | | | 1469134 | 1.915 | 1469134 | | 1469134 | 1.915 | 0 | 1.915 | 0 | | 0 | 0.000 | 1469134 |
| (d) Foreign Venture Capital Investors | | 1. 1 | | | | | | | | | | | | | | | | |
| (e) Foreign portfolio Investors | | 2 | 2463593 | 0 | | 2463593 | 3.211 | 2463593 | | 2463593 | 3.211 | 0 | 3.211 | 0 | 0.000 | 0 | 0.000 | 2463593 |
| FIRST STATE INVESTMENTS ICVC- STEWART | AABCF0154C | 1 | 1411321 | | | 1411321 | 1.840 | 1411321 | | 1411321 | 1.840 | 0 | 1.840 | 0 | | C | 0.000 | 1411321 |
| PACIFIC ASSETS TRUST PLC | AAECP7265R | 1 | | | | 1052272 | 1.372 | 1052272 | | 1052272 | 1.372 | 0 | | | | 0 | | |
| (f) Financial Institutions Banks | | 3 | | 0 | | 6005 | 0.008 | 6005 | | 6005 | 0.008 | 0 | 0.008 | | | | | 6005 |
| (g) Insurance Companies | | 1 | 554682 | 0 | | 554682 | 0.008 | 554682 | - | 554682 | 0.008 | 0 | | | 0.000 | | 0.000 | |
| (h) Provident Funds/Pension Funds | | | 334682 | · | | 554682 | 0.723 | 554682 | | 554682 | 0.723 | 0 | 0.723 | 1 | 0.000 | 1 | 0.000 | 554682 |
| · / | | | | | | | | | | | | | | | | - | - | |
| (i) Any Other (specify) | | | | | | | | | | | | | | | | | ļ | |
| Foreign Financial Institutions | ļ | 1 | 2000 | 0 | | 2000 | 0.003 | 2000 | | 2000 | 0.003 | 0 | 0.003 | 0 | 0.000 |) C | 0.000 | C |
| | | | | 10 20 | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | |
| | | | | | | | | , | | | | | | | | | | |
| Sub-Total (B)(1) | | 15 | 5666857 | 0 | 0 | 5666857 | 7.387 | 5666857 | | 5666857 | 7.387 | 0 | 7.387 | 0 | 0.000 | 0 | 0.000 | 5660857 |
| | | | | | | | | | | | | | | | | | | |
| (2) Central Government/ State Government(s)/ President | | | | | | | | | | | | | | | | | | |
| of India | | | | | | | | | | | | | | | | | 1 | |
| Sub-Total (B)(2) | | | | | | | | | | | | | | | | | | |
| | | | V | | | | | | | | | | | | | | | |
| (3) Non-institutions | | | | | | | | | | | | | | | | | | |
| (a) Individuals -i. Individual shareholders holding nominal | | | | | | | | | | | | | | | | | | |
| share capital up to Rs 2 lakh | | 15461 | 11080267 | n | | 11080267 | 14.443 | 11080267 | | 11080267 | 14.443 | 0 | 14.443 | 1 | 0.000 |) (| 0.000 | 10237848 |
| ii. Individual shareholders holding nominal share | | 10401 | 11000201 | | | 11000207 | 14.445 | 11000207 | | 11000207 | 14.440 | | 14.440 | - | 0.000 | 1 | 0.000 | 10207040 |
| capital in excess of Rs. 2 lakh. | | | 3383905 | ٥ | | 3383905 | 4.411 | 3383905 | | 3383905 | 4.411 | 0 | 4.411 | 0 | 0.000 |) . | 0.000 | 3383905 |
| NIMESH SUMATILAL | AAJPS1459A | 1 | 974114 | U | | 974114 | | | | | 1.270 | | | 0 | | | | 974114 |
| DURGESH S SHAH | AAQPS2879F | | | | | | 1.270 | 974114 | | 974114 | | 0 | | | | C | | |
| | | 1 | | | | 974114 | 1.270 | 974114 | | 974114 | 1.270 | 0 | | 0 | | C | | |
| DHANESH S SHAH | ABFPS0196K | 1 | 974114 | | | 974114 | 1.270 | 974114 | | 974114 | 1.270 | 0 | 1.270 | 0 | | C | 0.000 | 974114 |
| Name of the Late Park | | | | | | | | | | | | | | | | | | |
| b) NBFCs registered with RBI | | | | | | | | | | | | | | | | | | |
| c) Employee Trusts | | | | | | | | | | | | | | | | | - | |
| Overseas Depositories (holding DRs) (balancing | 1 | 1 | | | | | | | | | | | | 1 | | | | |
| d) figure) | | | 16 | | | | | | | | | | | | | - | | |
| e) Any other (Specify) Bodies Corpoates | | 121 | 765324 | 0 | | 765324 | 0.998 | 765324 | | 765324 | 0.998 | 0 | 0.998 | 0 | 0.000 | 0 | 0.000 | 750324 |
| Non Resident Indians - Non Repatriable | | 118 | 126387 | 0 | | 126387 | 0.998 | 126387 | | 126387 | 0.998 | 0 | 0.998 | 0 | | | | 119647 |
| Non Resident Indians - Non Repatriable | | 165 | 399300 | 0 | | 399300 | 0.165 | 399300 | | 399300 | 0.165 | 0 | 0.165 | 0 | | | | 399300 |
| Clearing Members | | 76 | | 0 | | 83674 | 0.320 | 83674 | | 83674 | 0.320 | 0 | | 0 | | | | 83674 |
| Hindu Undivided Families | | 376 | 577328 | 0 | | 577328 | 0.753 | 577328 | | 577328 | 0.753 | 0 | | 0 | | | | 577328 |
| Foreign Corporate Bodies | | 1 | 793 | 0 | | 793 | 0.001 | 793 | | 793 | 0.001 | 0 | | 0 | | | | . 793 |
| Poreign Corporate Bodies | | - | | 0 | | 329299 | 0.429 | 329299 | | 329299 | 0.429 | . 0 | | 0 | | | | 329299 |
| Inv.Education and Protection Fund Auth. | | 1 | 329299 | UI | | | | | | | | | | | | | | |
| | | 1 | 239530 | 0 | | 239530 | 0.312 | 239530 | | 239530 | 0.312 | 0 | 0.312 | 0 | 0.000 | 0 | | 239530 |
| Inv.Education and Protection Fund Auth. | | 1 | | | | | | | | 239530 | 0.312 | 0 | 0.312 | 0 | 0.000 | 0 | | 239530 |
| Inv.Education and Protection Fund Auth. | | 16324 | | | 0 | 239530 | | | | 239530 16985807 | 0.312 22.141 | 0 | | 0 | | | 0.000 | |
| Inv.Education and Protection Fund Auth. Unclaimed Share Suspense Account | 7 | 16324 | 239530 | 0 | 0 | 239530 | 0.312 | 239530 | | | | | | | | | 0.000 | |
| Inv.Education and Protection Fund Auth. Unclaimed Share Suspense Account | | 16324 | 239530 | 0 | 0 | 239530 | 0.312 | 239530 | | | | | | | | | 0.000 | |



Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

| No. of shareholders | No of share held |
|---------------------|------------------|
| 165 | 239530 |

